

## Constituents removal from the Nikkei Asia300 Investable Index

Nikkei Inc. will remove the following companies from the Nikkei Asia300 Investable Index, effective February 2. These constituents are removed because it is becoming difficult to trade these stocks as U.S. financial institutions are ceasing to trade them under the U.S. Presidential Executive Order 13959 which prohibits U.S. persons from investing in the Communist Chinese Military Companies.

| Company                           | Ticker | Country/Region | Exchange  |
|-----------------------------------|--------|----------------|-----------|
| China Telecom                     | 728    | China          | Hong Kong |
| China Railway Construction        | 1186   | China          | Hong Kong |
| CRRC                              | 1766   | China          | Hong Kong |
| China Communications Construction | 1800   | China          | Hong Kong |
| China Unicom (Hong Kong)          | 762    | Hong Kong      | Hong Kong |
| CNOOC                             | 883    | Hong Kong      | Hong Kong |
| China Mobile                      | 941    | Hong Kong      | Hong Kong |

The stocks above are based on the Non-SDN Communist Chinese Military Companies List published by the Office of Foreign Assets Control (OFAC) of the U.S. Department of the Treasury as of January 8, 2021.

The move will leave the index with 292 companies. Since no stock will be added to the Nikkei Asia300 Investable Index for this removal, the number of constituents will be less than 300. The number will be back to 300 at the annual periodic review at the beginning of June.