

Corrections of the Guidebooks for “JPX-Nikkei Index 400” and “JPX-Nikkei Mid and Small Cap Index”

Nikkei Inc. (Nikkei) and Tokyo Stock Exchange, Inc. (TSE) hereby announces the following corrections in its Guidebooks for JPX-Nikkei Index 400 and JPX-Nikkei Mid and Small Cap Index published on Dec. 17, 2018.

1. Key Corrections

As the changes at the previous revision (December 27, 2017) were deleted by mistake at the latest revision (December 17, 2018), they were added again.

2. Correction Effective Date

August 7, 2019

3. Reference Information (Past Revision)

December 27, 2017

Revision of the Guidebook for "JPX-Nikkei Index 400" and "JPX-Nikkei Mid and Small Cap Index"
https://indexes.nikkei.co.jp/en/nkave/archives/news/20171227E_1.pdf

December 17, 2018

Revision of Guidebook for JPX-Nikkei Index 400 and JPX-Nikkei Mid and Small Cap Index
https://indexes.nikkei.co.jp/en/nkave/archives/news/20181217E_1.pdf

Errata for the Index Guidebooks revised on December 17, 2018

1 . JPX-Nikkei Index 400

| Title | After Correction | Before Correction |
|--|---|--|
| II. Index Calculation 3. Stock Price Used for Calculation | (2) <u>Contract</u> Price | (2) Contact Price |
| III. JPX-Nikkei Index 400 Constituent Selection 1.(2)②(b) | Non-disclosure of the latest earnings reports as stipulated in 4.(1)① below <u>or internal control reports</u> , except in cases that the Index Provider deems this to be unavoidable. | Non-disclosure of the latest earnings reports as stipulated in 4.(1)① below, except in cases that the Index Provider deems this to be unavoidable. |
| III. JPX-Nikkei Index 400 Constituent Selection 4.Handling of Data used for Selection (1)② | Begin <u>ni</u> ng | begining |

2 . JPX-Nikkei Mid and Small Cap Index

| Title | After Correction | Before Correction |
|---|---|--|
| II. Index Calculation 3. Stock Price Used for Calculation | (2) <u>Contract</u> Price | (2) Contact Price |
| III. JPX-Nikkei Mid and Small Cap Index Constituent Selection 1.(2)②(b) | Non-disclosure of the latest earnings reports as stipulated in 4.(1)① below <u>or internal control reports</u> , except in cases that the Index Provider deems this to be unavoidable. | Non-disclosure of the latest earnings reports <u>or internal control reports</u> as stipulated in 4.(1)① below, except in cases that the Index Provider deems this to be unavoidable. |